*06-11-01*                                                          *GP/2165*

**SKJERVEN**
**MORRILL**
**MACPHERSON** LLP

*(stamp: OIPE JUN 08 2001 PATENT & TRADEMARK JC26)*

Docket No.: **M-4540-1C US**

June 8, 2001

BOX NON-FEE AMENDMENT
Commissioner For Patents
Washington, D.C. 20231

Re:     Applicant:      Foster, Robert A.
        Assignee:       Financial Systems Technology Pty. Ltd.
        Title:          Data Processing System For Complex Pricing And Transactional
                        Analysis
        Serial No.:     09/535,573
        Examiner:       C. Nguyen                    Filed:    March 27, 2000
        Docket No.:     **M-4540-1C US**             Group Art Unit:    2165

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

    (1)  Return Receipt Postcard;
    (2)  This Transmittal Letter (in duplicate);
    (3)  Response to Office Action of March 8, 2001 (6 pages); and;
    (4)  Attachment A (Claim Amendments) (1 page).;

☒     No additional fee is required.
☐     The fee has been calculated as shown below:

## CLAIMS AS AMENDED

|  | Claims Remaining *After* Amendment | | Highest No. Previously Paid For | | Present Extra | Rate | | Additional Fee |
|---|---|---|---|---|---|---|---|---|
| Total Claims | 40 | Minus | 40 | = | 0 | x $18 | $ | 0.00 |
| Independent Claims | 2 | Minus | 2 | = | 0 | x $80 | $ | 0.00 |
| ☐ Fee of _____ for the first filing of one or more multiple dependent claims per application | | | | | | | $ | |
| ☐ Fee for Request for Extension of Time | | | | | | | $ | |
| **Total additional fee for this Amendment:** | | | | | | | $ | 0.00 |

☒     Conditional Petition for Extension of Time:  If an extension of time is required for timely
      filing of the enclosed document(s) after all papers filed with this transmittal have been
      considered, an extension of time is hereby requested.

☒     Please charge our Deposit Account No. 19-2386 in the amount of                    $      0.00

☒     Also, charge any additional fees required and credit any overpayment to our Deposit
      Account No. 19-2386.

                                                              **Total:**     $      0.00

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EXPRESS MAIL LABEL NO.

EL 487 696 574 US
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Respectfully submitted,

*(signature)*

Edward C. Kwok
Attorney for Applicant
Reg. No. 33,938

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|---|---|---|
| Applicant: | Foster, Robert A. | |
| Assignee: | Financial Systems Technology Pty. Ltd. | |
| Title: | Data Processing System For Complex Pricing And Transactional Analysis | |

| | | | |
|---|---|---|---|
| Serial No.: | 09/535,573 | Filing Date: | March 27, 2000 |
| Examiner: | C. Nguyen | Group Art Unit: | 2165 |
| Docket No.: | M-4540-1C US | | |

San Jose, California
June 8, 2001

BOX Non Fee Amendment
COMMISSIONER FOR PATENTS
Washington, D. C. 20231

## RESPONSE TO OFFICE ACTION

Dear Sir:

This is in response to the Office Action dated March 8, 2001. Please amend the above-referenced application as follows:

## IN THE CLAIMS

Please amend the claims as follows. A marked-up version of the amended claim is attached in Attachment A.

47. (Amended) In a computer system, a method for pricing transactions, said method comprising:

creating a database in a computer-readable medium, wherein creating a database comprises:

creating a transaction instance corresponding to a transaction;